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ALEC B. PLUMB*

*REGISTERED PATENT AGENT

Atin:	MAIL STOP AMENDM	ŒNT		
COMPANY:	Commissioner for Patents / P.O. Box 1450, Alexandria VA 22313-1450			
FAX:	1 (571) 273-8300			
DATE:	11-28-2006	TIME: 1:20 PM		
	☐ WITH CONFIRM ☑ WITHOUT CON			
RE:	Application No. Filed:	10/712,740 November 13, 2003		
	y that this document and for Patents, fax no. (571) 27	all documents listed below are being transmitted via facsimile to 73-8300, on November 28, 2006 By: Jennie Heaton		

SUBMITTED HEREWITH FOR THE ABOVE-CAPTIONED APPLICATION:

- Transmittal Form
- Fee Transmittal Form
- Credit Card Payment Form
- Information Disclosure Statement (2 Pgs.)
- Form PTO/SB/08A
- Cited References (2)

Respectfully submitted,

Andrew D. Formey, Ph.D.; Reg. No. 34,600

Please direct any questions regarding the transmission of this fax to: (559) 432-6847 / Fax (559) 432-6872 / Info@fortneylaw.com

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U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid QMB control number. Under the Paperwork Reduction Act of 1995, no persons Application Number 10/712.740 TRANSMITTAL Filing Date November 13, 2003 First Named Inventor **FORM** In Kyu CHUN Art Unit 2823 Examiner Name Malsawma, Lalrinfamkim Hmar (to be used for all correspondence after initial filing) Attorney Docket Number PIA30957/DBE/US Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allewance Communication to TC Foo Transmittel Form Drawing(s) Appeal Communication to Braid |√ Licensing-related Papers Fee Attached esprengheini tina alanggh to Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Lotter Change of Correspondence Address Affidavits/doctaration(s) Other Enclosure(s) (please identify Terminal Disclaimer Extension of Time Request below): - Fax Transmittal Cover Sheet Request for Refund Express Abandonment Request - Credit Card Payment Form - Form PTO/SB/08A CD, Number of CD(s) Information Disclosure Statement - Cited References Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts! Incomplete Application Reply to Missing Paris under 37 CFR 1.52 or 1,53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name The Law Offices of Andrew D. Fortney, Ph.D., P.C. Signature Printed name Andrew D. Fortney, Ph.D., P.C. Date 34,600 11-28-2008 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being (acsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patonia, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Skanatura

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Jennie Hesteri

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete If Known Effective on 12/08/2004. ses pursuant to the Correctidated Appropriations Act, 2005 (H.R. 4818). 10/712,740 Application Number TRANSMIT Filing Date November 13, 2003 For FY 2005 Іп Куш СНЦМ First Named Inventor Malsawma, Lairinfamkim Hmar Examiner Name Applicant claims small entity status, See 37 CFR 1.27 2823 Art Unit TOTAL AMOUNT OF PAYMENT Attorney Docket No. PIA30957/DBE/US METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order Other (please identify): Deposit Account Deposit Account Number Deposit Account Name For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge foo(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any everpayments under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Gradit card information should not be included on this form. Provide cradit card Information and authorization on PTO-2018. FEE CALCULATION BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES **EXAMINATION FEES** Small Entity Small Entity Small Entity Foos Paid (\$) **Application Type** Foo.(\$) Foo (5) Foo (\$) Foo (\$) ERR. (\$) Fee (5) 300 Utility 500 200 150 **250** 100 Design 200 100 100 130 65 50 Plant 200 100 300 150 160 80 Reissue 300 150 500 600 300 250 200 Provisional 100 Λ 0 2. EXCESS CLAIM FEES Small Entity Foo Description Foo (\$) F00.(\$) Each claim over 20 (including Reissues) 50 Each independent claim over 3 (including Reissues) 100 200 180 Multiple dependent claims 360 Total Claims Extra Claims Fon (\$) Foe Pald (\$) Multiple Dependent Claims • 20 or HP = 0 Foo Pald (5) Foo (\$) HP = highest number of total claims paid for, if greater than 20, Ö Extra Claims Indop. Claims Foo Paid (\$) - 3 or HP = 0 HP = highest number of independent claims paid for, if greater than 3, APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s), Number of each additional 50 or fraction theroof Extra Shoots Foo Paid (\$) Total Shoots (round up to a whole number) = 4. OTHER FEE(S) Foos Pakt (\$) Non-English Specification, \$130 fee (no small entity discount) 180 Other (e.g., late filing surchurge): Submission of IDS Fee Under 37 CFR 1.17(p) SUBMITTED BY

Signature Registration No. (Attorney/Agent) 34,600 Tolephone 559-432-6847

Name (Print/Type) Andrew D. Fortney, Ph.D., P.C. Date 11-28-2006

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This collection of information is required by 37 CFR 1,136. The information is required to obtain or retain a benefit by the public which is in file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual cape. Any comments on the simeout of time you require to complete this form suition suggestions for reducing this burden, should be sent to the Chief information Difficer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Atty. Docket No. PIA30957/DBE/US

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

In Kyu CHUN

: GROUP ART UNIT:

2823

APPLICATION NO: 10/712,740

FILED:

November 13, 2003

: EXAMINER:

Malsawma, Lairinfamkim Hmar

FOR:

Methods for Forming a Contact Using a Dual Damascene

I hereby certify that this document is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 28, 2006

Jennie Heaton

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. SS 1.56 AND 1.97-98

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Sir

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached form(s) for the Examiner's consideration. One copy of each of the listed documents, other than any U.S. patents, U.S. patent publications and/or pending U.S. applications, is submitted herewith.

This Information Disclosure Statement is being filed:

concurrently with the application, within three months of the filing date of the
application, or before the mailing date of a first Office Action on the merits;

- before the mailing of a first Office Action after the filing of a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114; or
- more than three months from the filing date of this application or after the mailing date of the first Office Action on the merits, but before the mailing date of the first to occur of either: (1) a final action under 37 C.F.R. § 1.113; (2) a Notice of Allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

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Atty. Docket No. PIA30957/DBE/US

Application No: 10/712,740

Therefore:

- No Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.
- The fee under 37 C.F.R. § 1.17(p) accompanies this submission.

Statement under 37 C.F.R. § 1.97(e) (if applicable):

- Each item of information in the accompanying list(s) was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- No item of information in the accompanying list(s) was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained herein was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information Disclosure Statement.

Nothing in this Information Disclosure Statement or its submission shall be construed as an admission against interest in any manner, including as an admission or representation regarding; (i) the completeness of the list of information, (ii) the status of any listed information or document as prior art, (iii) any search that may or may not have been made, (iv) the pertinence or relevance of any listed information to this application, (v) the possible or actual materiality of the listed information to the patentability of the claims of this application, or (vi) the existence or nonexistence of other (potentially) material information. Applicant does not waive any right to take any action that would appropriate to antedate or otherwise remove any listed information or document as a competent or available reference against the claims of the present application.

Respectfully submitted,

Andrew D. Fortney, Ph.D.

Reg. No. 34,600

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Complete if Known Substitute for form 1449/PTO Application Number 10/712,740 Filing Date November 13, 2003 INFORMATION DISCLOSURE First Named Inventor In Kvu CHUN STATEMENT BY APPLICANT Art Unit 2823 (Lise as many sheets as necessary) Malsawma, Lairinfamkim Hmer Examinar Name Attorney Docket Number | PIA30957/DBE/US

Examiner	Cile	Document Number	Publication Date	Name of Palentee or	Penes, Columns, Lines, Where
initials*	No.1	Number-Kind Code ³ Francis	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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FOREIGN PATENT DOCUMENTS						
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